

<p style="text-align: center;"><b>IN THE UNITED STATES PATENT AND TRADEMARK OFFICE</b></p>	<i>Application Number</i>	09/
	<i>Filing Date</i>	
	<i>First Named Inventor</i>	Herbert M. WILSON
	<i>Group Art Unit</i>	
	<i>Examiner Name</i>	
	<i>Attorney Docket Number</i>	N1205-014
<p><i>Title of the Invention: Methods for Tissue Culturing and Transforming Elite Inbreds of Zea Mays L.</i></p>		

## PRELIMINARY AMENDMENT

Hon. Commissioner for Patents  
and Trademarks  
Washington, D.C. 20231

Dear Sir:

Prior to action in the present application, please enter the following Preliminary Amendment contained on the following pages, which are summarized below.

Please cancel claims 1-21 and 29-34 without prejudice to filing one or more continuation and/or divisional applications. Please amend claims 22 and 25-28.

Please insert a new paragraph after the title on page 1 of the specification as contained on the following pages.

Please delete the "BRIEF DESCRIPTION OF THE FIGURES" on pages 3 and 4.

Please replace the paragraph beginning at page 5, line 1, as contained on the following pages.

Please replace the paragraph beginning at page 11, line 13, as contained on the following pages.

Please replace the paragraph beginning at page 14, line 19, as contained on the following pages.

Please replace the paragraph beginning at page 15, line 3, as contained on the following pages.

Please replace the paragraph beginning at page 18, line 9, as contained on the following pages.

Marked up copies of the original text of the new claims and specification portions are attached to this amendment. Material inserted is indicated by underling (\_\_\_\_\_) and material deleted is indicated by strike-out (~~strike-out~~).

For information only

**Clean Copy of Paragraph, Page 1, after the title****CROSS REFERENCE**

This application is a divisional of U.S. Patent Application having Serial No. 09/203,679, filed December 1, 1998.

**Clean copy of paragraph beginning at page 5, line 1:**

The Type II callus is then regenerated into plants. "Water tower" structures are generally in evidence as soon as callus is initiated from immature embryos. The desired Type II callus is cultured on solid medium to regenerate plants. The Type II callus is then regenerated into plants. Tissue containing a high frequency of "water tower" embryos structures is selected from the callus initiated from normal and "infected" immature embryos. This tissue is desirable since it allows for ready regeneration of plants. This desired Type II callus is cultured on solid medium to regenerate plants.

**Clean Copy of paragraph beginning at page 11, line 13:**

Actively growing Type II callus is selected from the clonal tissue with the objective to obtain a high frequency of "water tower" embryo structures in the cultures. The tissue containing the "water tower" embryo structures is cultured on a solid medium to mature the embryos. Maturing embryos are transferred to solid medium to further the maturation and to induce germination. Germinating embryos are transferred to solid medium for the promotion of further root and shoot development prior to final transfer to soil. The solid medium may contain any conventional salt and vitamin mixture, such as MS salts with or without MS vitamins or other vitamins, N6 salts with or without N6 vitamins or other vitamins and the like. Methods for plant regeneration are known in the art and preferred methods are provided by Kamo et al. (1985), West et al. (1993), and Duncan et al. (1985).

**Clean Copy of paragraph beginning at page 14, line 19:**

*Agrobacterium* strain LBA 4404 harboring “super binary” vectors as described in U.S. patent Hei and Komari (1997) was used in corn transformation experiments. Vectors with a *bar* expression cassette from pBARGUS (Fromm et. al., 1990) were used to generate resistance to the herbicide bialaphos, and a *gus* expression cassette from pIG221 (Ohta et al., 1990) was used to produce Gus expression for transient assays. The *gus* expression cassette contains an intron in the N-terminal region of the *gus* gene which prevents expression in bacteria, but upon expression in plant cells the intron is spliced out and Gus activity is achieved (Ohta et al., 1990; Ishida et al., 1996). *Agrobacterium* containing “super binary” vectors were stored in glycerol stocks using acidified glycerol. Glycerol was acidified by adding 15 drops of 1M HCl to one liter of glycerol (Sigma G-9012). Final glycerol concentration of stocks was 15 to 20% and stocks were frozen at minus 86° C. When glycerol stocks were used as the source for transformation experiments, *Agrobacterium* was made ready for transformation experiments by removing a few flakes of frozen culture with a sterile loop, streaking it out on YP medium (5 g/l yeast extract, 10 g/l peptone, 5 g/l NaCl, and 15 g/l agar) containing 50 mg/l spectinomycin, and incubating it for one or two days at 28°C. When glycerol stocks were not used as the source, *Agrobacterium* maintained on YP plus spectinomycin at 4°C was used to initiate new cultures of *Agrobacterium* that were grown as described above.

**Clean Copy of paragraph beginning at page 15, line 3:**

Please replace the paragraph beginning at page 15, line 3, with the following rewritten paragraph:

Co-cultivation of the immature embryos and *Agrobacterium* cells in plant transformation work has been routinely performed at 25° C. Observations by Fullner et al. (1996) suggested that better results might be expected at lower temperatures. This was confirmed by Dillen et al. (1997) for transformation of tobacco. We therefore tested 19° C as a co-cultivation temperature for corn. Co-cultivating at 19° C is clearly superior as indicated by transient expression of the gus gene. Subsequently, all experiments were carried out at a co-cultivation temperature of 19° C. The protocol of Hei and Komari (1997) utilizes the corn inbred line A188 and hybrids with A188. No success was reported with other inbreds (Ishida et al., 1996). Their approach was tried with Stine 963 and was not successful. Cultured immature embryos of Stine 963 treated with *Agrobacterium* after Hei and Komari, and Ishida et al produced no transformed clones. The following modifications were then tried:

**Clean copy of paragraph beginning at page 18, line 9:**

(a) Actively growing Type II callus was selected from clonal tissue, with the objective of obtaining a high frequency of so-called 'water tower' embryo structures in the cultures.

### Clean Copy of Amended Claims

22. (AMENDED) A method for transforming lines of corn comprising the steps of:

(a) co-cultivating an immature embryo from said line with *Agrobacterium* capable of transferring at least one gene to tissue of said line to produce an infected embryo;

(b) culturing the infected embryo after co-cultivation on a medium comprising an antibiotic and a monosaccharide sugar in an amount of from about 5 g/L to about 30g/L;

(c) culturing the resulting tissue on a medium comprising an antibiotic and a selective agent;

(d) culturing the resulting tissue on a medium comprising a selective agent to select for transformed tissue;

(e) selecting transformed tissue with growing Type II callus capable of forming water tower embryo structures; and

(f) regenerating plants from said embryo structures.

25. (AMENDED) The method of claim 22, wherein co-cultivation is performed at a temperature of about 19° C.

26. (AMENDED) The method of claim 22, wherein a heat shock treatment is applied during co-cultivation, said heat shock treatment comprising a temperature of about 35° C to about 55° C for 30 minutes to 60 minutes.

27. (AMENDED) The method of claim 25, wherein said heat shock is performed at about 24 hours to about 72 hours after initiation of co-cultivation.

28. (AMENDED) The method of claim 22, wherein the concentration of antibiotic in the medium of step (b) is from about 15 mg/L to about 75 mg/L.



Amended Specification: Version with markings to show changes made

Please insert a new paragraph after the title on page 1 of the specification.

#### CROSS REFERENCE

This application is a divisional of U.S. Patent Application having Serial No.

09/203,679, filed December 1, 1998.

On Pages 3 and 4, please delete the "BRIEF DESCRIPTION OF THE FIGURES" section of the specification.

#### ~~BRIEF DESCRIPTION OF THE FIGURES~~

~~Figure 1 shows a comparison of the transient expression of the gus gene dimer by the 35S meter following co-cultivation of immature embryos with *Agrobacterium* at 19° C and 25° C.~~

~~Figure 2 shows an actively growing Type II callus having "water tower" embryo structures.~~

Please replace the paragraph beginning at page 5, line 1, with the following rewritten paragraph:

The Type II callus is then regenerated into plants. "Water tower" structures are generally in evidence as soon as callus is initiated from immature embryos. The desired Type II callus is cultured on solid medium to regenerate plants. The Type II callus is then regenerated into plants. Tissue containing a high frequency of "water tower" embryos structures is selected (see Fig. 2) from the callus initiated from normal and "infected" immature embryos. This tissue is desirable since it allows for ready regeneration of plants. This desired Type II callus is cultured on solid medium to regenerate plants.

Please replace the paragraph beginning at page 11, line 13, with the following rewritten paragraph:

--Actively growing Type II callus is selected from the clonal tissue with the



Amended Specification: Version with markings to show changes made

objective to obtain a high frequency of “water tower” embryo structures (~~see Figure 2~~) in the cultures. The tissue containing the “water tower” embryo structures is cultured on a solid medium to mature the embryos. Maturing embryos are transferred to solid medium to further the maturation and to induce germination. Germinating embryos are transferred to solid medium for the promotion of further root and shoot development prior to final transfer to soil. The solid medium may contain any conventional salt and vitamin mixture, such as MS salts with or without MS vitamins or other vitamins, N6 salts with or without N6 vitamins or other vitamins and the like. Methods for plant regeneration are known in the art and preferred methods are provided by Kamo et al. (1985), West et al. (1993), and Duncan et al. (1985).

Please replace the paragraph beginning at page 14, line 19, with the following rewritten paragraph:

Agrobacterium strain LBA 4404 harboring “super binary” vectors as described in U.S. patent Hei and Komari (1997) was used in corn transformation experiments. Vectors with a *bar* expression cassette from pBARGUS (Fromm et. al., 1990) were used to generate resistance to the herbicide bialaphos, and a *gus* expression cassette from pIG221 (Ohta et al., 1990) was used to produce Gus expression for transient assays ~~as displayed in Figure 4~~. The *gus* expression cassette contains an intron in the N-terminal region of the *gus* gene which prevents expression in bacteria, but upon expression in plant cells the intron is spliced out and Gus activity is achieved (Ohta et al., 1990; Ishida et al., 1996). *Agrobacterium* containing “super binary” vectors were stored in glycerol stocks using acidified glycerol. Glycerol was acidified by adding 15 drops of 1M HCl to one liter of glycerol (Sigma G-9012). Final glycerol concentration of stocks was 15 to 20% and stocks were frozen at minus 86° C. When glycerol stocks were used as the source for transformation experiments, *Agrobacterium* was made ready for transformation experiments by removing a few flakes of frozen culture with a sterile loop, streaking it out on YP medium (5 g/l yeast extract, 10 g/l peptone, 5 g/l

Amended Claims: Version with markings to show changes made

NaCl, and 15 g/l agar) containing 50 mg/l spectinomycin, and incubating it for one or two days at 28°C. When glycerol stocks were not used as the source, *Agrobacterium* maintained on YP plus spectinomycin at 4°C was used to initiate new cultures of *Agrobacterium* that were grown as described above.

Please replace the paragraph beginning at page 15, line 3, with the following rewritten paragraph:

Co-cultivation of the immature embryos and *Agrobacterium* cells in plant transformation work has been routinely performed at 25° C. Observations by Fullner et al. (1996) suggested that better results might be expected at lower temperatures. This was confirmed by Dillen et al. (1997) for transformation of tobacco. We therefore tested 19° C as a co-cultivation temperature for corn. ~~Figure 1 shows the clear superiority of~~ eCo-cultivating at 19° C is clearly superior as indicated by transient expression of the gus gene. Subsequently, all experiments were carried out at a co-cultivation temperature of 19° C. The protocol of Hei and Komari (1997) utilizes the corn inbred line A188 and hybrids with A188. No success was reported with other inbreds (Ishida et al., 1996). Their approach was tried with Stine 963 and was not successful. Cultured immature embryos of Stine 963 treated with *Agrobacterium* after Hei and Komari, and Ishida et al produced no transformed clones. The following modifications were then tried:

Please replace the paragraph beginning at page 18, line 9, with the following rewritten paragraph:

(a) Actively growing Type II callus was selected from clonal tissue, with the objective of obtaining a high frequency of so-called 'water tower' embryo structures (~~see Fig. 2~~) in the cultures.

Amended Claims: Version with markings to show changes made

Please amend claims 22 and 25-28 as follows:

22. (AMENDED) A method for transforming [elite] lines of corn [using *Agrobacterium*] comprising the steps of:

(a) co-cultivating an immature embryo from said [elite] line with *Agrobacterium* capable of transferring at least one gene to tissue of said [elite] line [on a solid medium] to produce an infected embryo;

(b) culturing the infected embryo after co-cultivation on a [solid] medium comprising an antibiotic and a monosaccharide sugar in an amount of from about 5 g/L to about 30g/L;

(c) culturing the resulting tissue on a [solid] medium comprising an antibiotic and a selective agent;

(d) culturing the resulting tissue on a [solid] medium comprising a selective agent to select for transformed tissue;

(e) selecting transformed tissue with growing Type II callus capable of forming water tower embryo structures; and

(f) regenerating plants from said embryo structures.

25. (AMENDED) The method of claim 22, wherein co-cultivation is performed at a temperature of about 19° C.

26. (AMENDED) The method of claim 22, wherein a heat shock treatment is applied during co-cultivation, said heat shock treatment comprising a temperature of about 35° C to about 55° C for 30 minutes to 60 minutes.

Amended Claims: Version with markings to show changes made

27. (AMENDED) The method of claim 25, wherein said heat shock is performed at about 24 hours to about 72 hours after initiation of co-cultivation.

28. (AMENDED) The method of claim 22, wherein the concentration of antibiotic in the medium of step (b) is from about 15 mg/L to about 75 mg/L.

For information only